



VIRTUAL SEMINAR – 4 June 2026

Course Outline:

Section 1 – Introduction to AI Risk in Regulated Entities

Participants will explore how AI is transforming decision-making within financial institutions, why it introduces new forms of regulatory and conduct risk, and why supervisors increasingly expect senior management and boards to take clear ownership of AI usage.

Section 2 – Understanding AI and Its Risk Profile

Participants will gain a practical understanding of what constitutes an AI system under the EU AI Act and how different types of AI—such as machine learning and generative AI—give rise to distinct and evolving risk profiles.

Section 3 – Regulatory Landscape and AI Governance Expectations

This section provides a clear overview of the EU AI Act and its risk-based regulatory framework, focusing on its practical implications for financial institutions. Participants will understand the obligations applicable to high-risk AI systems, the distinction between providers and deployers, and how the AI Act interacts with existing regulatory requirements such as GDPR, AML/CFT, model risk management, and outsourcing rules.

Section 4 – AI Risk Mitigation and Governance Frameworks

This section demonstrates how to design and implement effective AI governance structures. Participants will explore roles and responsibilities across the three lines of defense, AI risk assessment methodologies, lifecycle controls, human-in-the-loop mechanisms, and third-party oversight.

Section 5 – AI Policy Structure and Template Walkthrough

This section walks participants through the core components of a robust AI Policy aligned with the EU AI Act, highlighting key elements regulators expect to see in practice.

Section 6 – Monitoring, Oversight, and Continuous Improvement

The final section focuses on ensuring AI governance remains effective over time. Participants will learn how to monitor AI performance, bias, and security, manage incidents and regulatory change, and embed continuous improvement and AI literacy across the organization—ensuring AI systems remain compliant, trustworthy, and aligned with evolving expectations.



Learning objectives

By the end of the seminar, participants will be able to:

- Understand key AI risks relevant to regulated financial institutions
- Identify and classify AI systems under the EU AI Act risk-based framework
- Recognize regulatory and supervisory expectations for AI governance
- Assess AI risks across the full AI lifecycle
- Apply AI governance and risk mitigation controls in practice
- Draft or enhance an AI Policy aligned with the EU AI Act
- Implement effective monitoring, oversight, and continuous improvement

Trainer Biography

Gionata Ponti is a digital transformation professional with over nine years of experience in IT/OT integration and process digitalization within highly regulated environments. He has worked extensively across the pharmaceutical and industrial sectors with multinational organisations including Nestlé, Coca-Cola, Shell, and Chiesi Group, operating at the intersection of technology, business processes, and regulatory compliance.

He holds a bachelor's degree in computer, Electronics and Communication Engineering and an MSc in Electronics and Telecommunications Engineering, and is currently completing an AI Business Strategy diploma at the Swiss Cyber Institute, focusing on the deployment of AI systems in regulated environments and their alignment with emerging regulatory frameworks, including the EU AI Act.

He has delivered public talks on AI governance in institutional settings and regularly addresses the challenges associated with responsible and compliant AI adoption.