



Seminar: A practical guide to prepare the MiFID Report

Seminar Overview

The practical guide to prepare the Annual Markets in Financial Instruments Directive (MiFID) Report Course has been developed by the Risk & Compliance Director, Partner at SALVUS Funds, Evdokia Pitsillidou. This course aims to educate professionals on preparing the Compliance Annual Report, ensuring alignment with MiFID regulatory standards. It provides detailed information on the report's specific features, required content, and areas inspected by the Compliance Function throughout the year 2023.

The Annual MiFID Report represents a reporting obligation for regulated entities licensed to provide investment and crypto-asset services, examining matters of compliance with the MiFID framework. Addressing this requirement, the course also discusses the latest guidelines issued by the Cyprus Securities and Exchange Commission (CySEC) on certain aspects of the compliance function, including the Annual MiFID Report. This learning course has been designed to enrich the knowledge and expertise of professionals holding key positions within Cyprus Investment Firms (CIF). Moreover, it can be valuable for lawyers, accountants, and internal auditors working along with CIF regulated entities.

Professionals undertaking this course will acquire the necessary information and skills to develop a comprehensive and well-structured Annual MiFID Report. The course dives deep into the inspection areas to be examined within the report for all business units and functions involved with the provision of services. Additionally, it serves as a useful source of information for members of the Board of Directors, facilitating their review and approval of the report, while offering Compliance Officers reporting tips to follow. Finally, the course equips participants with an overview of each circular issued by CySEC, relevant to the provision of investment and crypto-asset services to be utilised for the completion of all annual reporting obligations.

Upon completion of the programme, participants will have the ability to:

- Gain a comprehensive understanding of the role and responsibilities of the Compliance Officer and the Compliance Function.
- Comprehend the necessary features of the Annual MiFID Report.



- Understand the structure and essential information required within the Annual MiFID Report.
- Develop skills in preparing a comprehensive report, focusing on the inspection areas and findings of the Compliance Function for the year under review.
- Implement valuable reporting tips to ensure a thorough review and approval process by the Board of Directors, ensuring successful submission to the regulator.
- Enhance annual reports with the key objectives and actions taken to comply with the provisions of each circular issued by CySEC within 2023, based on the firm's business model.

About the Instructor

Evdokia Pitsillidou | Risk & Compliance Director at SALVUS Funds
Partner at SALVUS Funds, Mrs. Pitsillidou, is actively advising and working on all matters relating to licensing, regulatory compliance and internal audits for investment firms, crypto-asset services providers, investment funds, and payment institutions. Evdokia holds a BSc in Mathematics from the Aristotle University of Thessaloniki, Greece, an MSc in Actuarial Studies from the University of New South Wales, Sydney, Australia, is a CySEC Advanced and AML Certified Officer, is a Certified Actuarial Analyst (CAA), and a member of the Cyprus Investment Funds Association (CIFA) and Global Institute of Internal Auditors (IIA).